

17175 U.S. PTO  
10/28/03

PATENT  
Customer Number 22,852  
Attorney Docket No. 7451.0001-23  
InterTrust Ref. No.: IT-5.1.2.1 (US)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: MAIL STOP: PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 28, 2003. Express Mail Label No.: EV398887682US

Signed:

*Cindy Baglietto*  
Cindy Baglietto

16018 U.S. PTO  
10/696659

102803

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Prior Application Art Unit: 2132 Prior Application Examiner: J. Darrow

SIR: This is a request for filing a

☒ Continuation ☐ Continuation-in-Part ☐ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior Application No. 10/106,742, filed March 25, 2002, of Karl L. Ginter, Victor H. Shear, Francis J. Spahn and David M. Van Wie for SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT AND ELECTRONIC RIGHTS PROTECTION

1. ☒ Enclosed is a complete copy of the prior application including the oath or declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior Application No. 10/106,742 as originally filed on March 25, 2002, which is incorporated herein by reference.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ☐ Enclosed is a Request for Non-Publication of Application and Certification Under 35 U.S.C. § 122(b)(2)(B)(i).
4. ☒ Cancel Claims 1-90.
5. ☒ A Preliminary Amendment is enclosed, with new claims 91-157.
6. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 5 above.

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Basic Application Filing Fee					\$770	\$ 770.00
	Number of Claims		Basic	Extra Claims		
Total Claims	67	-	20	47	x \$18	\$ 846.00
Independent Claims	5	-	3	2	x \$86	\$ 172.00
TOTAL APPLICATION FILING FEE						\$ 1,788.00

7. ☒ Please charge the amount of \$1,788.00 to our Deposit Account No. 06-0916. This amount includes the basic application filing fee of \$770.00, and additional claim fee of \$1,018.00.
8. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
9. ☒ Amend the specification by inserting before the first line, the following new paragraph: This application is a continuation of U.S. Application No. 10/106,742, filed March 25, 2002, which is a continuation of U.S. Application No. 09/327,405, filed June 7, 1999, now U.S. Patent No. 6,363,488, which is a continuation of U.S. Application No. 08/760,440, filed December 4, 1996, now U.S. Patent No. 5,910,987, which is a continuation of U.S. Application No. 08/388,107, filed February 13, 1995, now Abandoned.
10. ☐ New formal drawings are enclosed.
11. ☒ The prior application is assigned of record to: InterTrust Technologies Corp.
12. ☐ Priority of Application No. [Text], filed on [Text] in [Country] is claimed under 35 U.S.C. § 119. A certified copy
- ☐ is enclosed or ☐ is on file in the prior application.
13. ☐ Small entity status is appropriate and applies to this application.
14. ☒ The power of attorney in the prior application is to at least one of the following: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F.

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15. ☒ The power appears in the original declaration of the prior application.

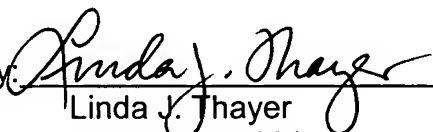
16. ☐ Since the power does not appear in the original declaration, a copy of the power in the prior application is enclosed.
17. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315, **Customer Number 22,852.**
18. ☐ Recognize as associate attorney \_\_\_\_\_
19. ☐ Also enclosed is \_\_\_\_\_

**PETITION FOR EXTENSION.** If any extension of time is necessary for the filing of this application, including any extension in parent Application No. 10/106,742, filed March 25, 2002, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 28, 2003

By:  \_\_\_\_\_  
Linda J. Thayer  
Reg. No. 45,681

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